



16805 U.S. PTO

DSC to SDC

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	16313-0246
	Named Inventor(s)	Oswaldo da Costa e Silva, Hans J. Bohnert, Nocha Van Thielen and Ruoying Chen
	Title	Transcription Factor Stress-Related Proteins and Methods of Use in Plants
	Express Mail Label No.	EL972592435US

22386 U.S. PTO
10/7/16089

APPLICATION ELEMENTS	ADDRESS TO: Commissioner for Patents Mail Stop: Patent Application P.O. Box 1450 Alexandria, VA 22313-1450
<div><div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</div><div>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div><div>3. <input checked="" type="checkbox"/> Specification, Claims, and Abstract 92 Total Pages</div><div>4. <input checked="" type="checkbox"/> Drawings 12 Total Sheets</div><div>5. Oath or Declaration Total Pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 Below] (i) <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</div><div>6. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</div><div>7. <input type="checkbox"/> Applicant does not intend to file this application in any foreign country, and requests that this application NOT BE PUBLISHED.</div><div>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div><div>9. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div></div> <td>ACCOMPANYING APPLICATION PARTS<div>10. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. <input checked="" type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</div><div>11. <input checked="" type="checkbox"/> Assignment: a. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) b. <input checked="" type="checkbox"/> Assignment is of record in parent application No. 09/828,303</div><div>12. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney by assignee</div><div>13. <input type="checkbox"/> English Translation Document (if applicable)</div><div>14. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) PTO- 1449 <input type="checkbox"/> Copies of IDS Citations</div><div>15. <input checked="" type="checkbox"/> Preliminary Amendment</div><div>16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</div><div>17. <input type="checkbox"/> Certified Copy of Priority Document(s)</div><div>18. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</div><div>19. <input checked="" type="checkbox"/> Other: Claims priority to U.S. Nonprovisional Application Serial No. 09,828,303 filed April 6, 2001 and U.S. Provisional Application Serial No. 60/196,001 filed April 7, 2000.</div></td>	ACCOMPANYING APPLICATION PARTS <div>10. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. <input checked="" type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</div> <div>11. <input checked="" type="checkbox"/> Assignment: a. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) b. <input checked="" type="checkbox"/> Assignment is of record in parent application No. 09/828,303</div> <div>12. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney by assignee</div> <div>13. <input type="checkbox"/> English Translation Document (if applicable)</div> <div>14. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) PTO- 1449 <input type="checkbox"/> Copies of IDS Citations</div> <div>15. <input checked="" type="checkbox"/> Preliminary Amendment</div> <div>16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</div> <div>17. <input type="checkbox"/> Certified Copy of Priority Document(s)</div> <div>18. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</div> <div>19. <input checked="" type="checkbox"/> Other: Claims priority to U.S. Nonprovisional Application Serial No. 09,828,303 filed April 6, 2001 and U.S. Provisional Application Serial No. 60/196,001 filed April 7, 2000.</div>
17. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/828,303 Prior application information: Examiner: Cynthia Collins Group Art Unit: 1638 Recite complete dependency back to first parent application: Claims priority to U.S. Prov. Appl 60/196,001 filed April 7, 2000.	
18. CORRESPONDENCE ADDRESS: Kathryn H. Wade, Ph.D. SUTHERLAND ASBILL & BRENNAN LLP 999 Peachtree Street, NE Atlanta, Georgia 30309-3996 By: <u>Kathryn H. Wade</u> Reg. No. 54,682 Date: November 18, 2003 Telephone: 404-853-8000 Facsimile: 404-853-8806	

The PTO did not receive the following
listed item(s) one page of
Sequence list

FEE TRANSMITTAL

Attorney Docket No. 16313-0246

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Oswaldo da Costa e Silva, et al.

Filing Date: November 18, 2003

Title: Transcription Factor Stress-Related Proteins and Methods of Use in Plants

The filing fee is calculated as shown below:

1. FILING FEE:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385		\$770	770
<input type="checkbox"/> DESIGN FILING FEE	\$170		\$340	
<input type="checkbox"/> PLANT FILING FEE	\$265		\$530	
<input type="checkbox"/> REISSUE FILING FEE	\$385		\$770	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$		\$770.00

2. CLAIMS:

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	53 - 20 =	23	x 9 =		x 18 =	594
INDEP. CLAIMS	6 - 3 =	3	x 43 =		x 86 =	258
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =		+290 =	
SUBTOTAL (2)				\$		\$852.00

3. ADDITIONAL FEES:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

TOTAL FILING FEES: \$1,622.00☒ A check is enclosed for the total amount: **\$1622.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 19-5029.

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Reg. No. 54,682Date: November 18, 2003